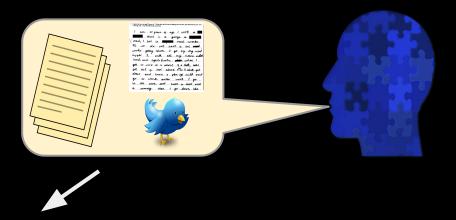
# Transformer Sequence Models

CSE354 - Spring 2020

Natural Language Processing



#### Most NLP Tasks. E.g.

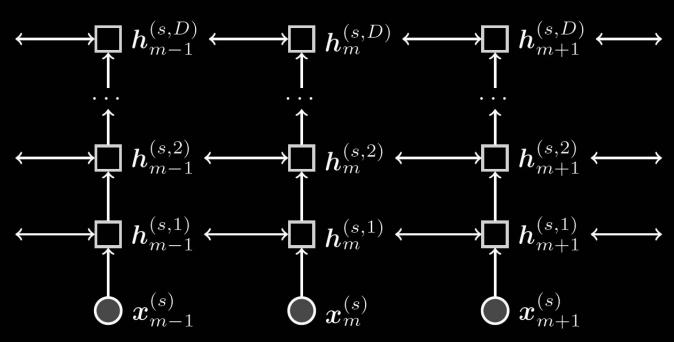
- Sequence Tasks
  - Language Modeling
  - Machine Translation
  - Speech Recognition

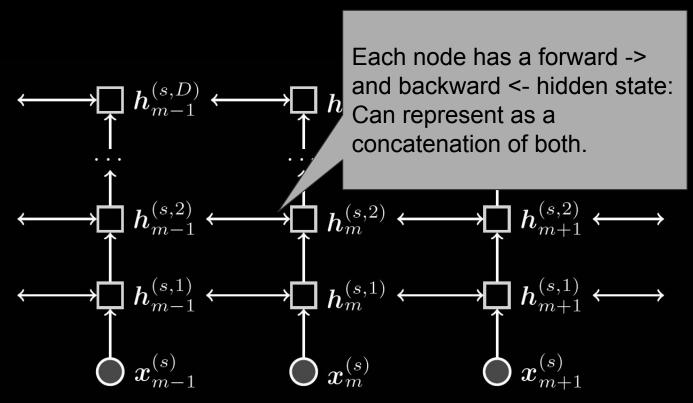
- Transformer Networks
  - Transformers
  - BERT

# **Evolution of Sequence Modeling**

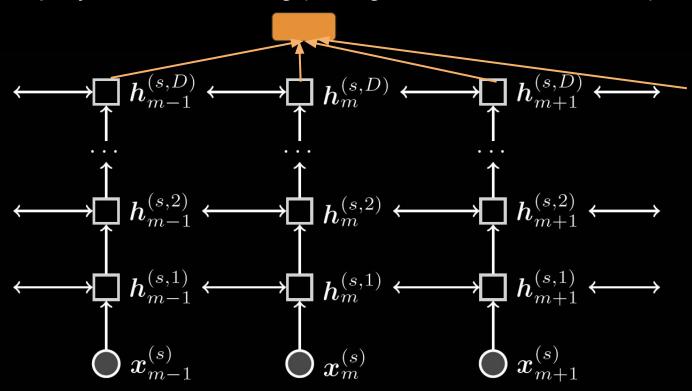
RNNs LSTMs LSTMS with Attention

Attention without LSTMs

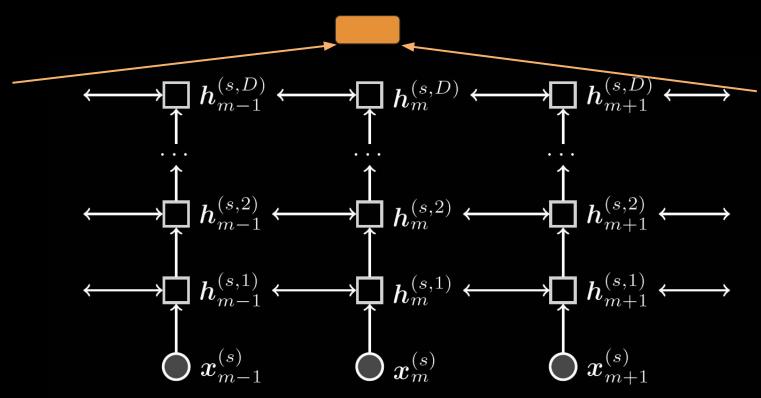




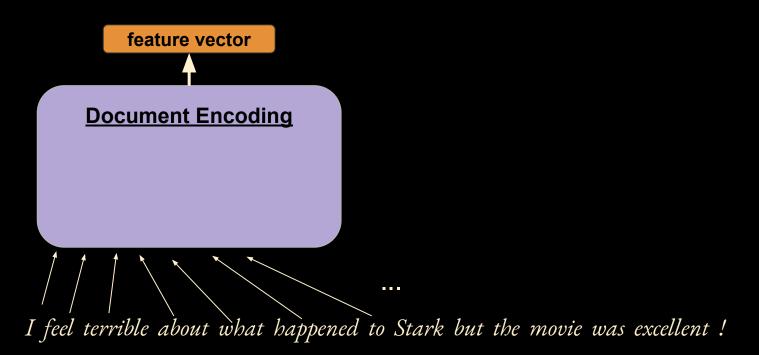
Average of top layer is an embedding (average of concatinated vectors)



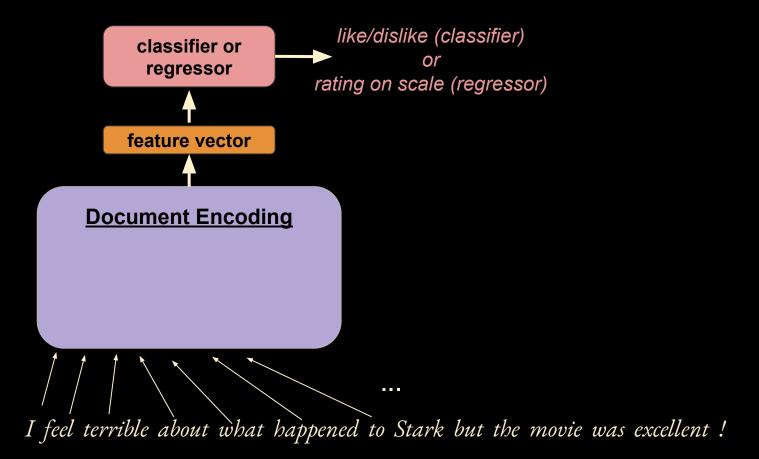
Sometimes just use left-most and right-most hidden state instead



# **Sentiment Analysis: Example Application of Single Representation of document**

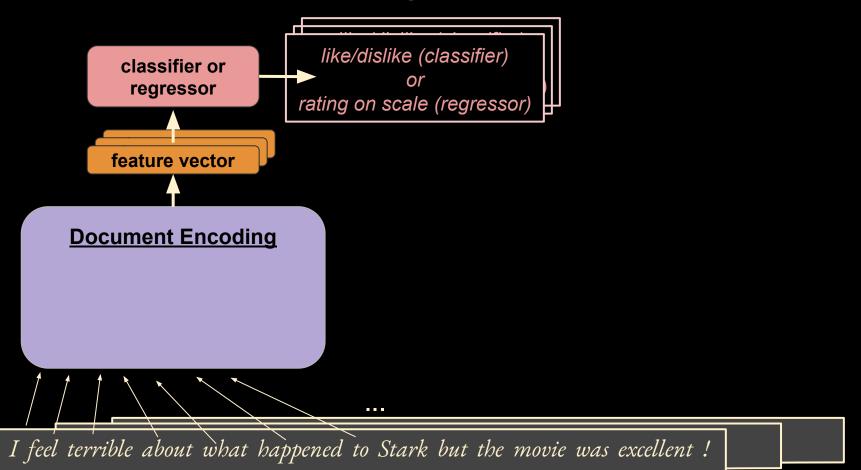


# **Sentiment Analysis:**Example Application of Single Representation of document



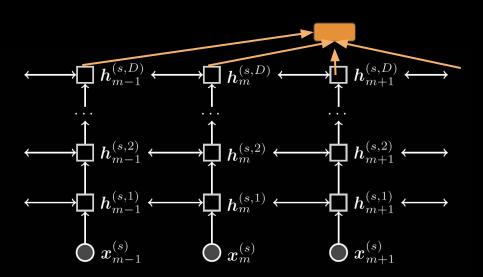
# Sentiment Analysis:

**Example Application of Single Representation of document** 

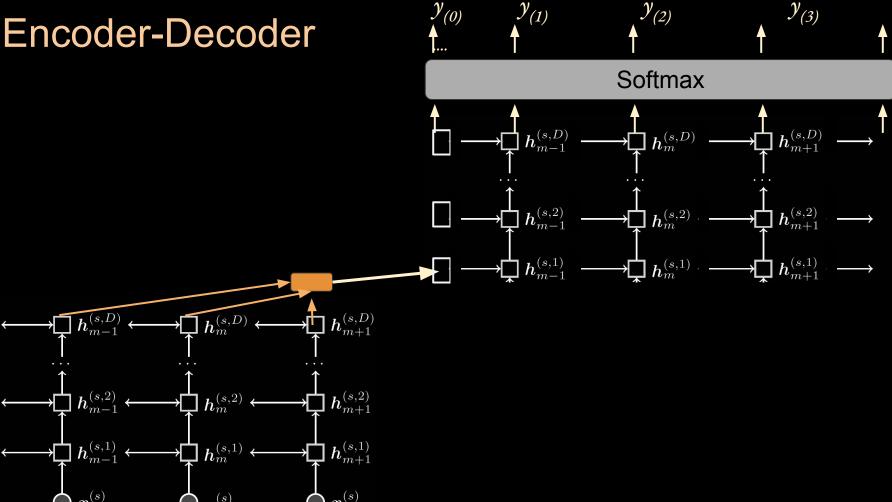


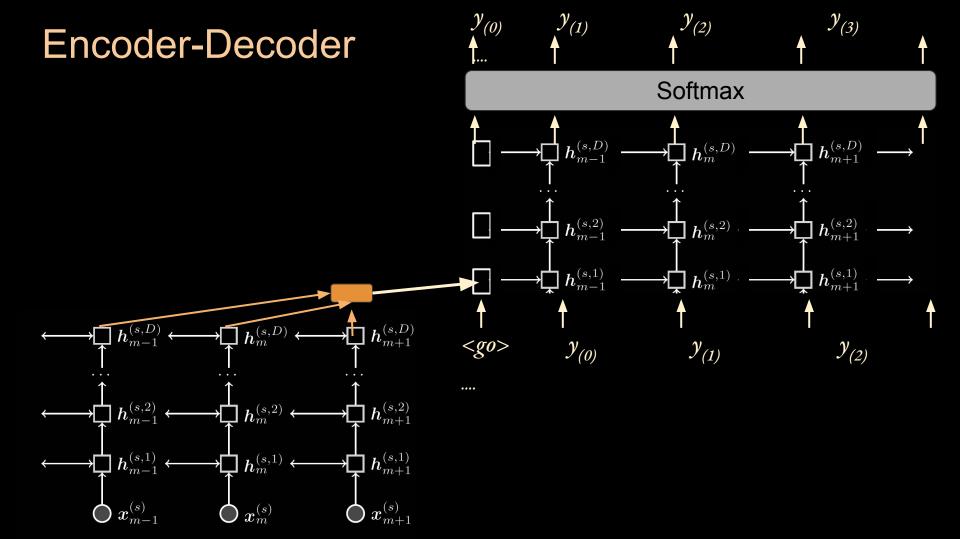
# Encoder

A representation of input.



Representing input and converting  $h_{m-1}^{(s,D)} \longrightarrow h_m^{(s,D)} \longrightarrow h_{m+1}^{(s,D)} \longrightarrow h_{m+1}^{(s,D)} \longrightarrow h_m^{(s,D)} \longrightarrow h_$ to output  $ightharpoonup h_{m-1}^{(s,2)} \longrightarrow 
ightharpoonup h_m^{(s,2)} \longrightarrow 
ightharpoonup h_{m+1}^{(s,2)} \longrightarrow 
i$  $h_{m-1}^{(s,1)} \longrightarrow h_m^{(s,1)} \longrightarrow h_{m+1}^{(s,1)} \longrightarrow h_{m+1}^{(s,1)} \longrightarrow h_m^{(s,1)} \longrightarrow h_$  $ightarrow ightharpoonup h_{m-1}^{(s,2)} \longleftrightarrow ightharpoonup h_m^{(s,2)} \longleftrightarrow ightharpoonup h_{m+1}^{(s,2)}$  $oldsymbol{h}_{m-1}^{(s,1)} \longleftrightarrow oldsymbol{h}_{m}^{(s,1)} \longleftrightarrow oldsymbol{h}_{m+1}^{(s,1)}$ 

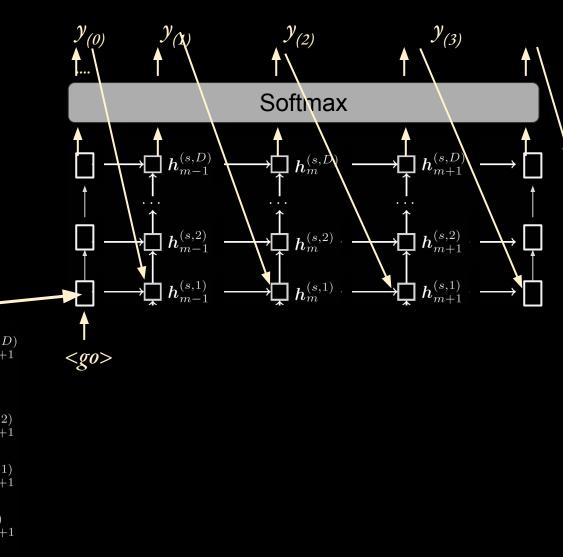




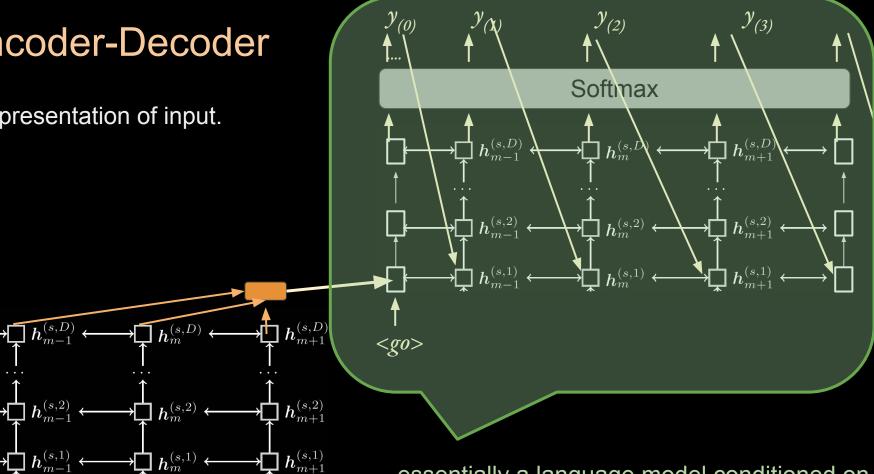
ightarrow  $h_m^{(s,2)} \leftarrow$ 

ightarrow  $h_m^{(s,1)} \leftarrow$ 

A representation of input.

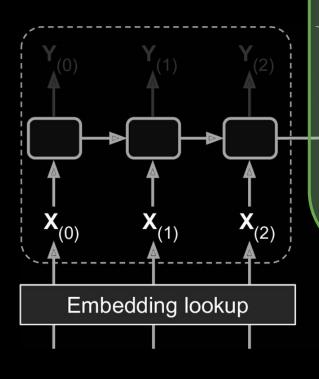


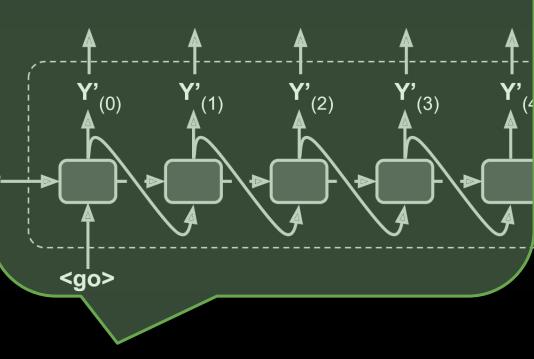
A representation of input.



essentially a language model conditioned on the final state from the encoder.

When applied to new data...



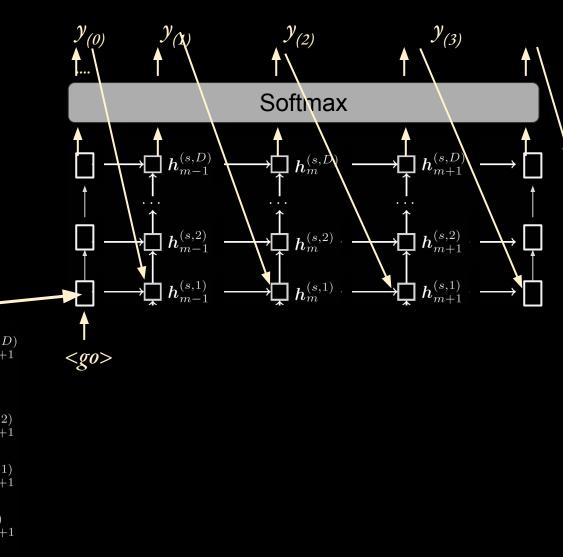


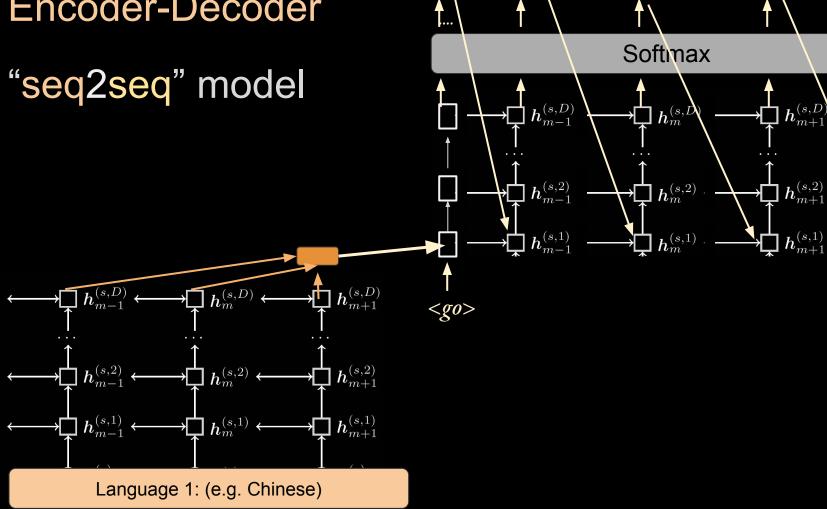
essentially a language model conditioned on the final state from the encoder.

ightarrow  $h_m^{(s,2)} \leftarrow$ 

ightarrow  $h_m^{(s,1)} \leftarrow$ 

A representation of input.

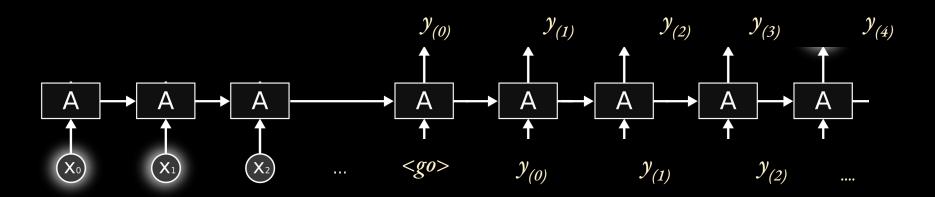




Language 2: (e.g. English)

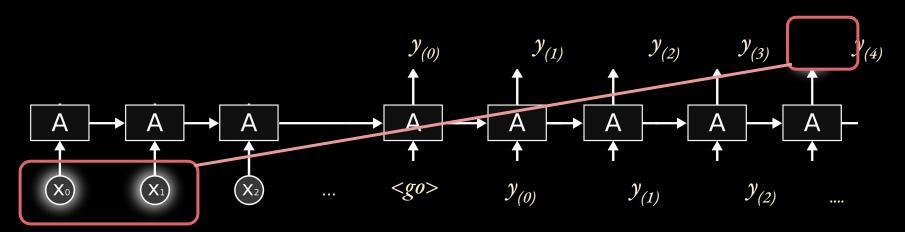
#### Challenge:

• Long distance dependency when translating:



#### Challenge:

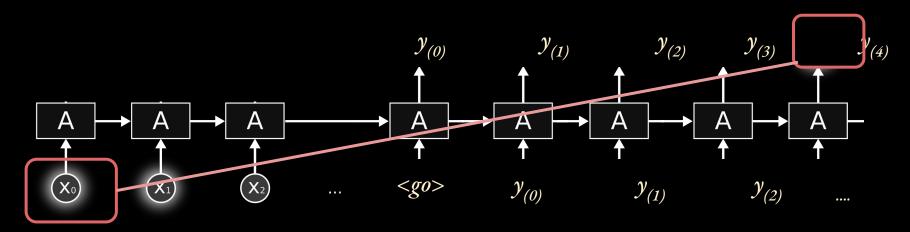
• Long distance dependency when translating:



#### Challenge:

The ball was kicked by kayla.

Long distance dependency when translating:

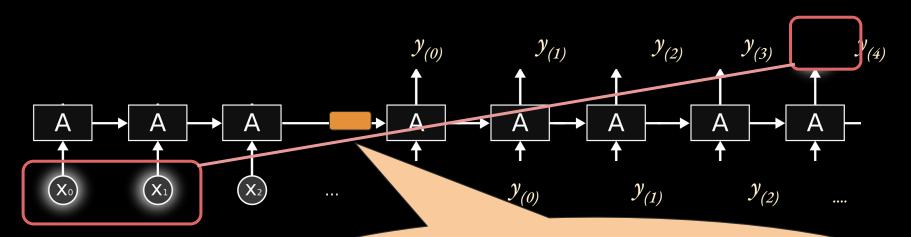


Kayla kicked the ball.

#### Challenge:

The ball was kicked by kayla.

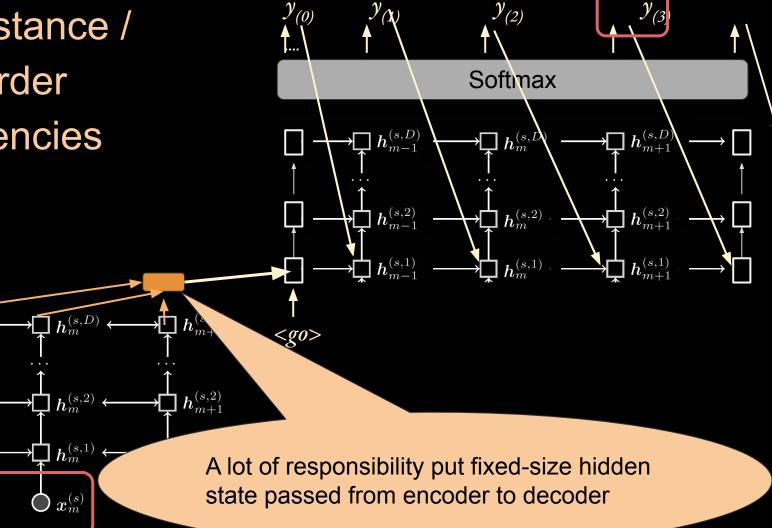
Long distance dependency when translating:



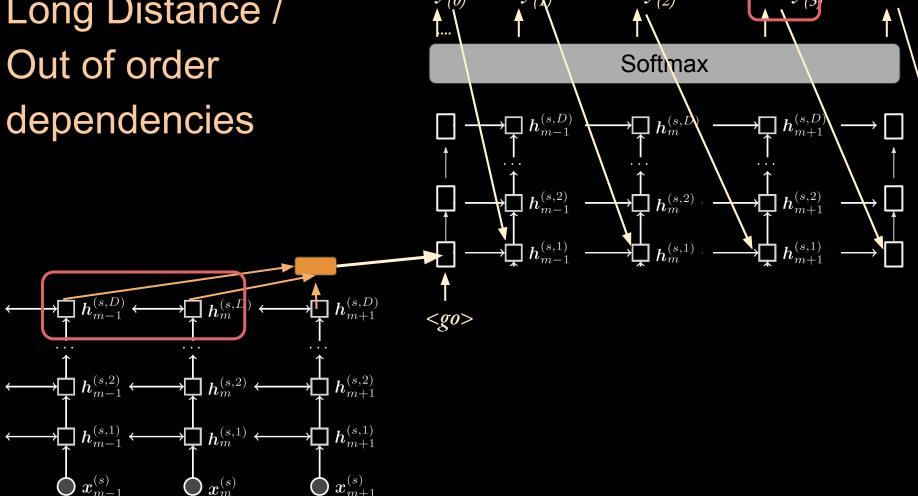
Kayla kicked the ball

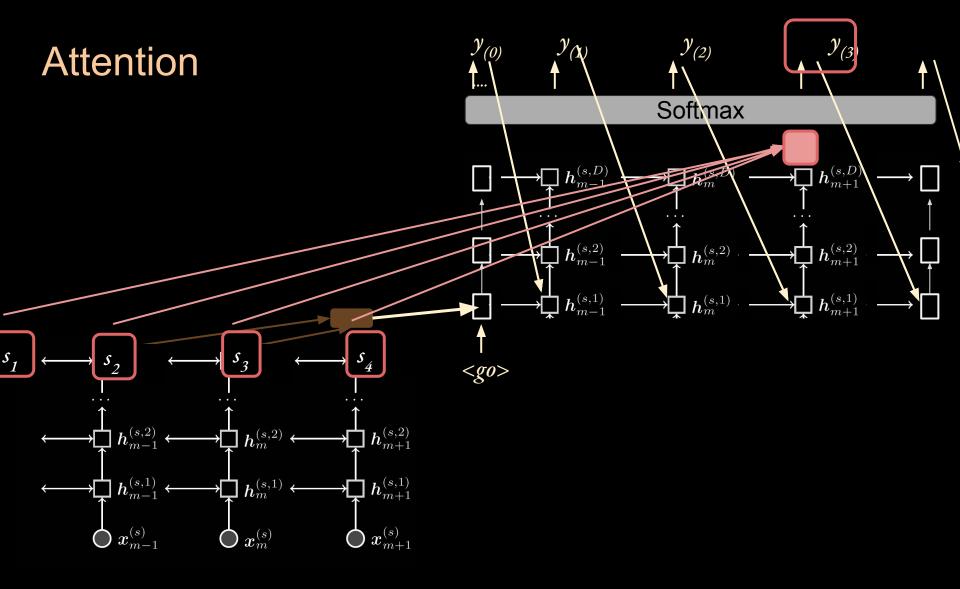
A lot of responsibility put fixed-size hidden state passed from encoder to decoder

# Long Distance / Out of order dependencies



# Long Distance /

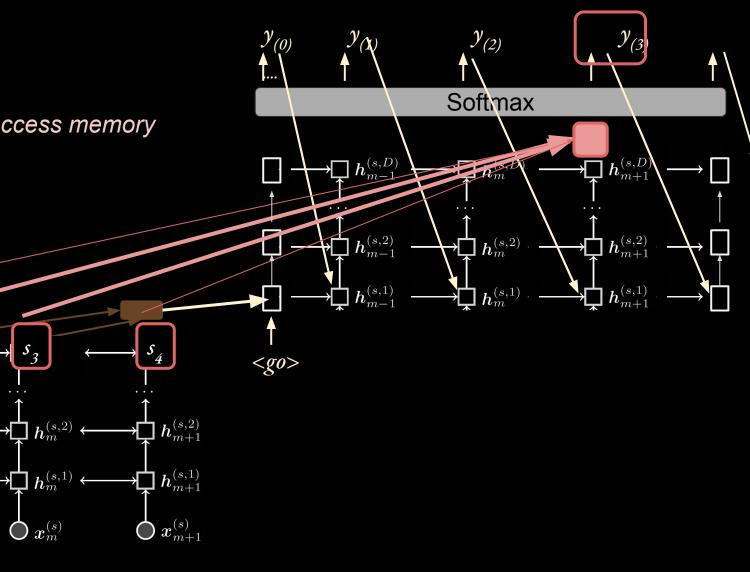


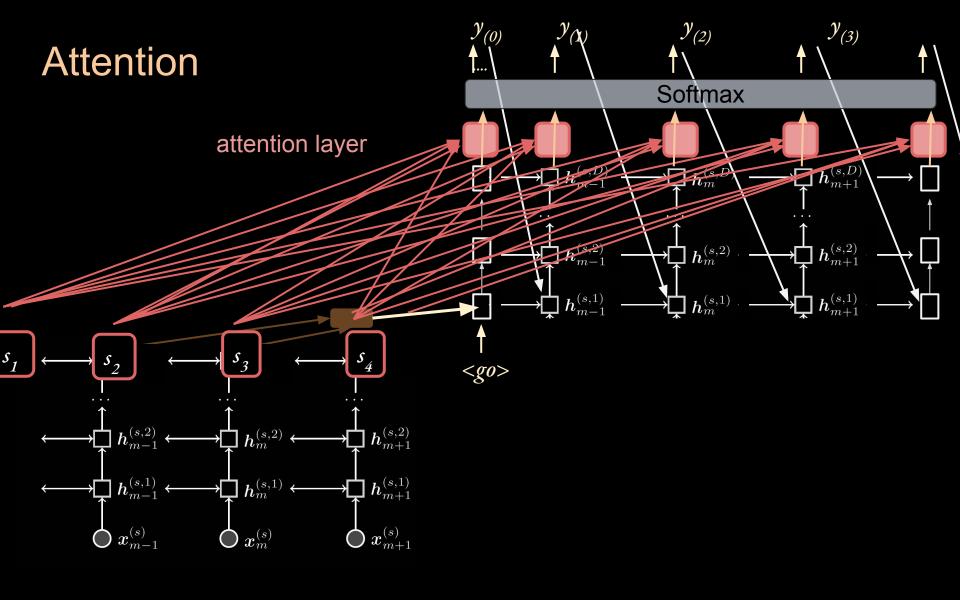


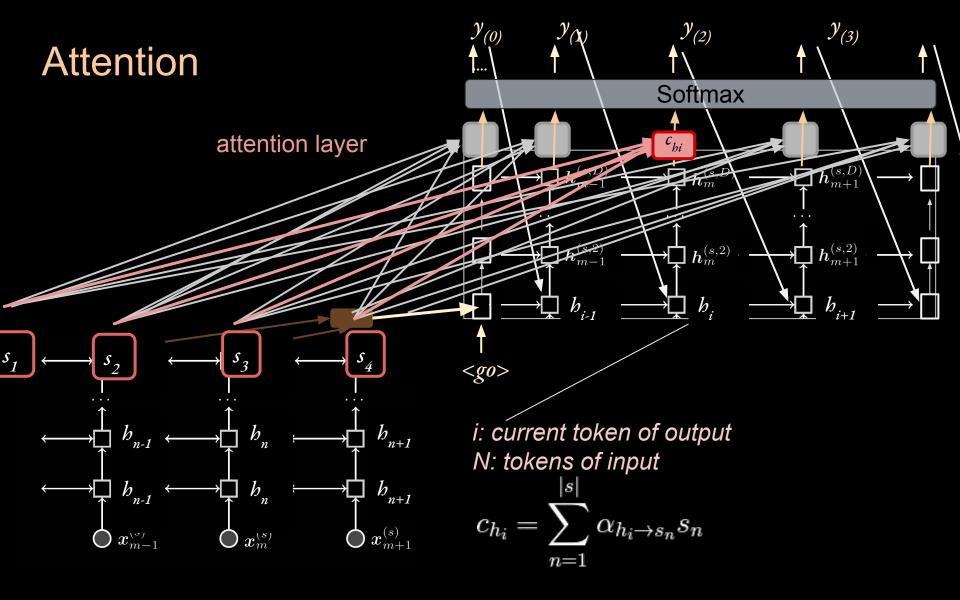
Analogy: random access memory

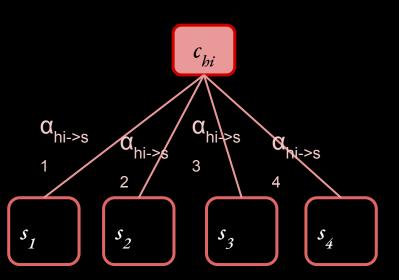
 $s_3$ 

ightarrow  $h_m^{(s,2)} \leftarrow$ 

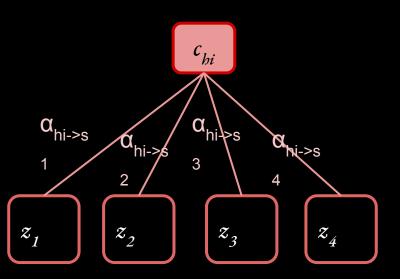






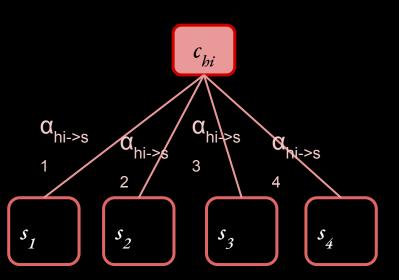


$$c_{h_i} = \sum_{n=1}^{|s|} \alpha_{h_i \to s_n} s_r$$

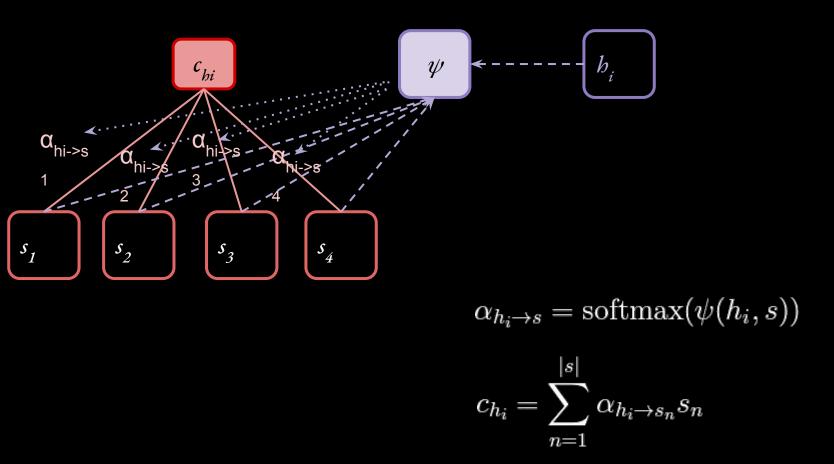


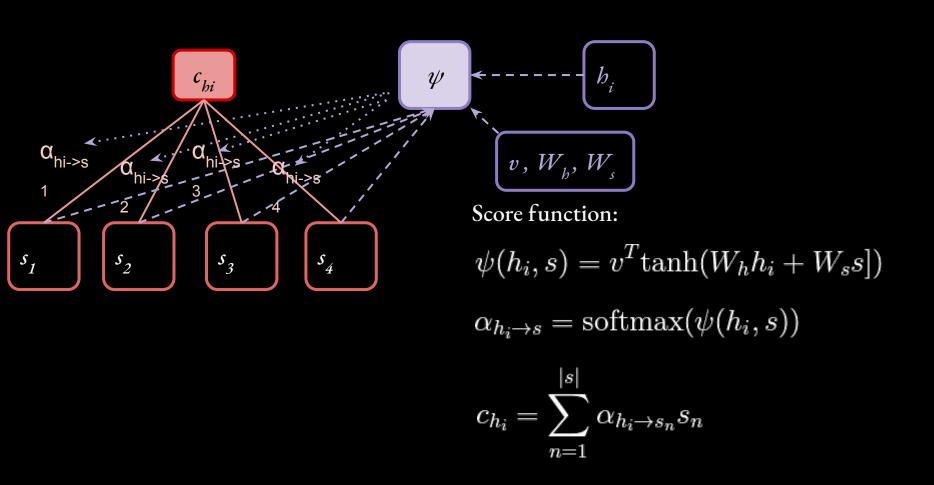
Z is the vector to be attended to (the value in memory). It is typically hidden states of the input (i.e.  $s_n$ ) but can be anything.

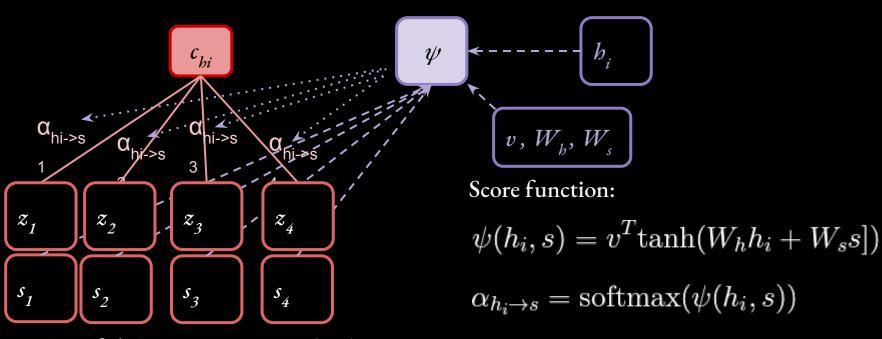
$$c_{h_i} = \sum_{n=1}^{|s|} \alpha_{h_i \to s_n} z_i$$



$$c_{h_i} = \sum_{n=1}^{|s|} \alpha_{h_i \to s_n} s_r$$

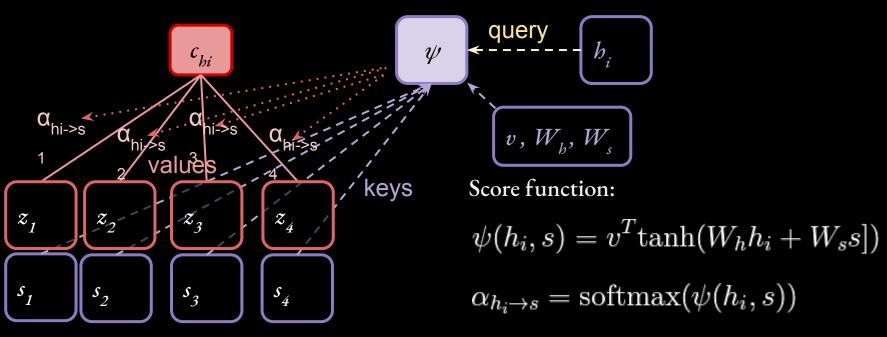






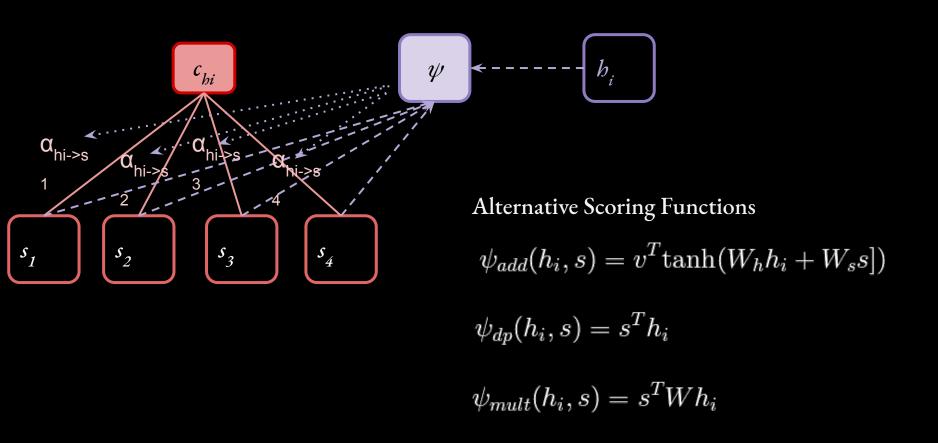
A useful abstraction is to make the vector attended to (the "value vector", Z) separate than the "key vector" (s).

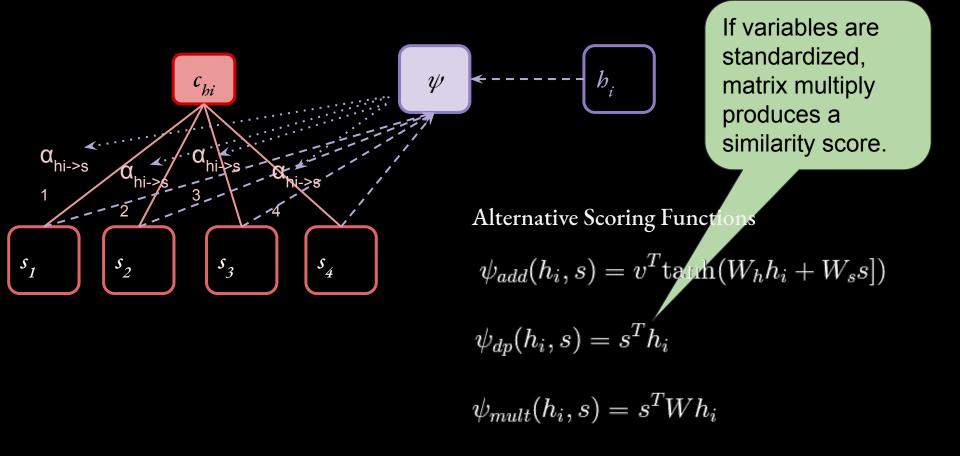
$$c_{h_i} = \sum_{n=1}^{|s|} \alpha_{h_i \to s_n} z_n$$

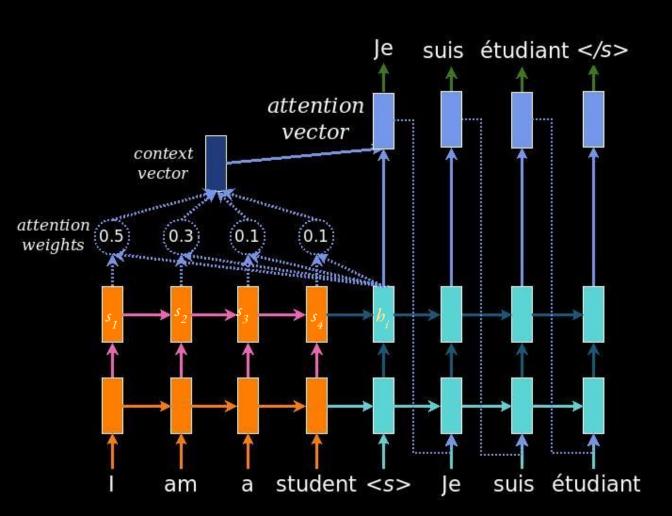


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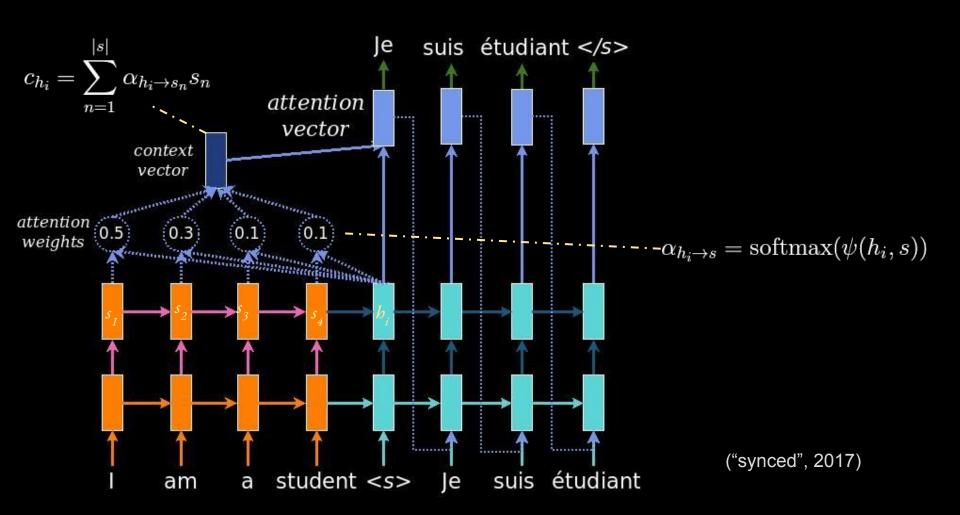
$$c_{h_i} = \sum_{n=1}^{|s|} \alpha_{h_i \to s_n} z_n$$

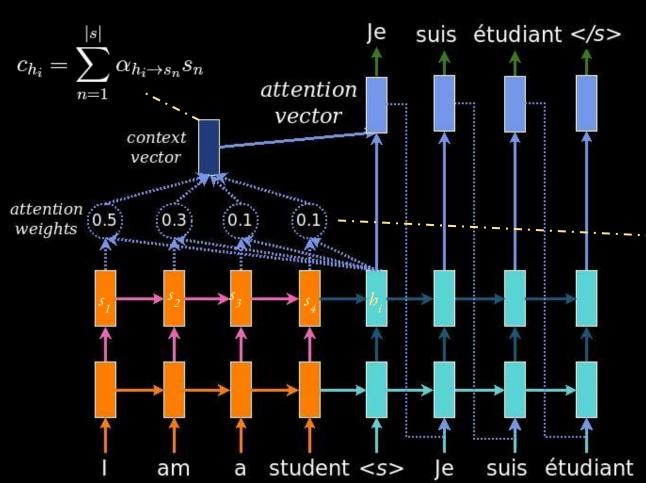


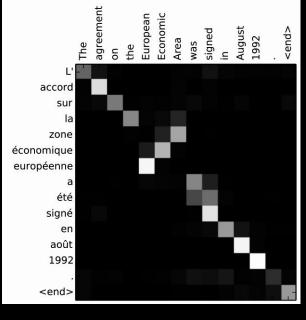




("synced", 2017)

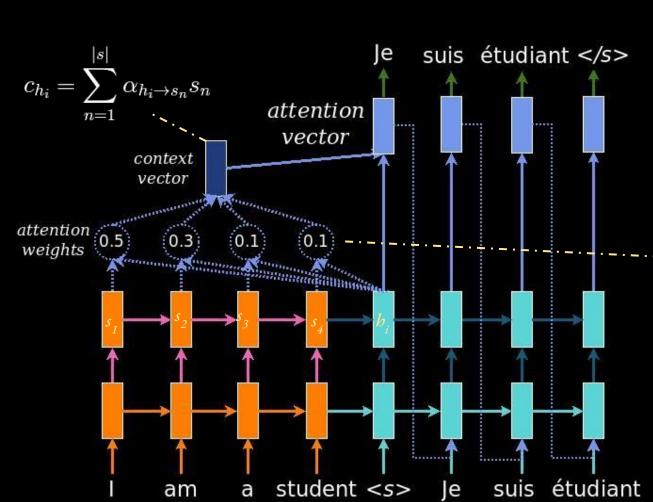


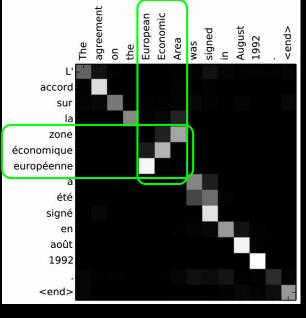




$$-\alpha_{h_i \to s} = \operatorname{softmax}(\psi(h_i, s))$$

("synced", 2017)





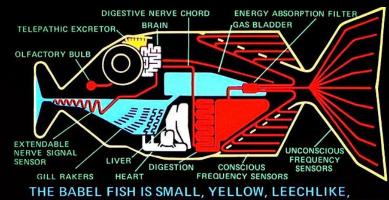
(Bahdanau et al., 2015)

$$-\alpha_{h_i \to s} = \operatorname{softmax}(\psi(h_i, s))$$

("synced", 2017)

#### Why?

- \$40billion/year industry
- A center piece of many genres of science fiction
- A fairly "universal" problem:
  - Language understanding
  - Language generation
- Societal benefits of intercultural communication

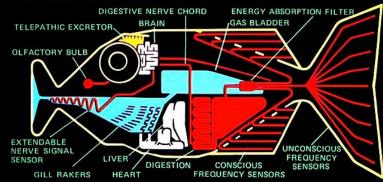


THE BABEL FISH IS SMALL, YELLOW, LEECHLIKE, AND PROBABLY THE ODDEST THING IN THE UNIVERSE IT FEEDS ON BRAIN WAVE ENERGY, ABSORBING AL

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#### BABEL FISH



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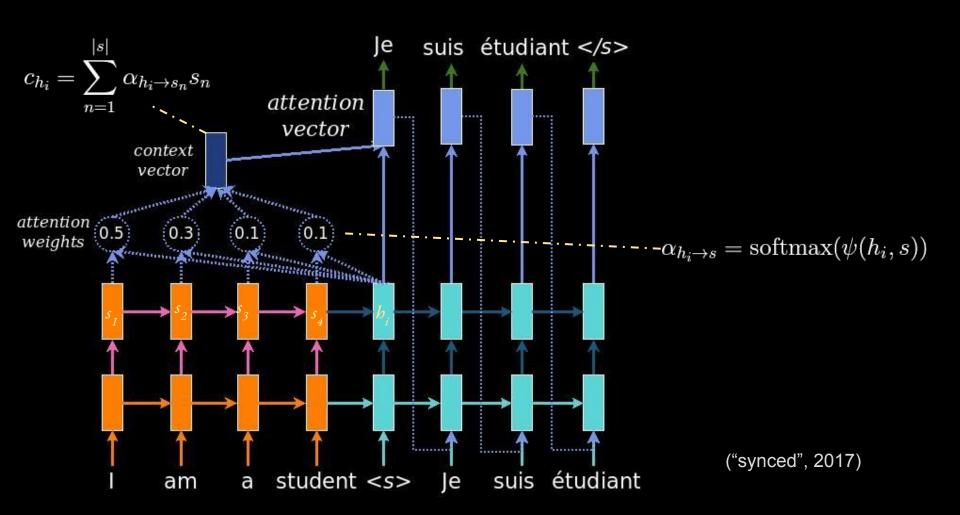
(Douglas Adams)

Why Neural Network Approach works? (Manning, 2018)

- Joint end-to-end training: learning all parameters at once.
- Exploiting distributed representations (embeddings)
- Exploiting variable-length context
- High quality generation from deep decoders stronger language models (even when wrong, make sense)

As an optimization problem (Eisenstein, 2018):

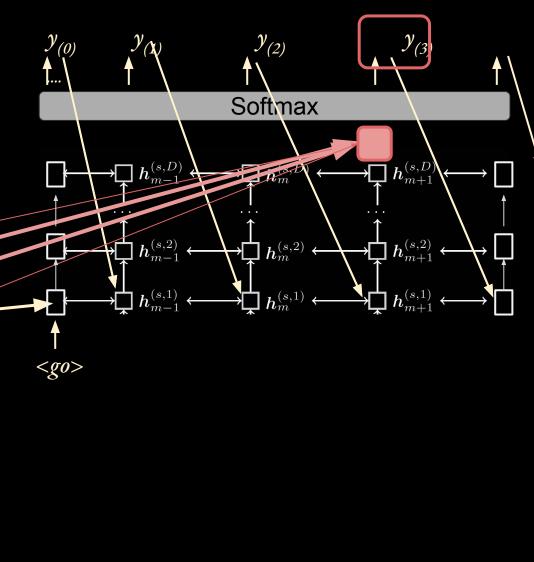
$$\hat{\boldsymbol{w}}^{(t)} = \operatorname*{argmax}_{\boldsymbol{w}^{(t)}} \Psi(\boldsymbol{w}^{(s)}, \boldsymbol{w}^{(t)})$$

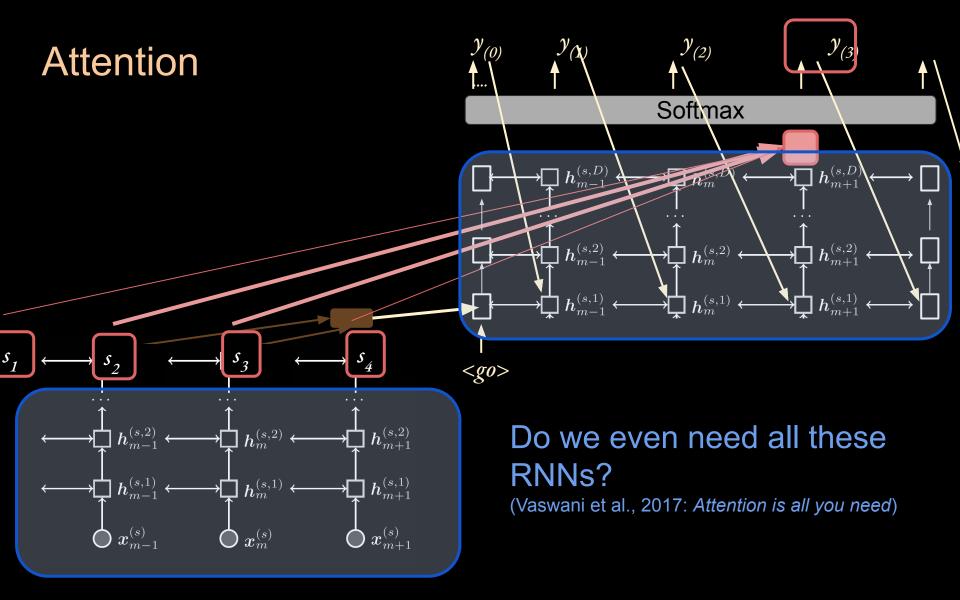


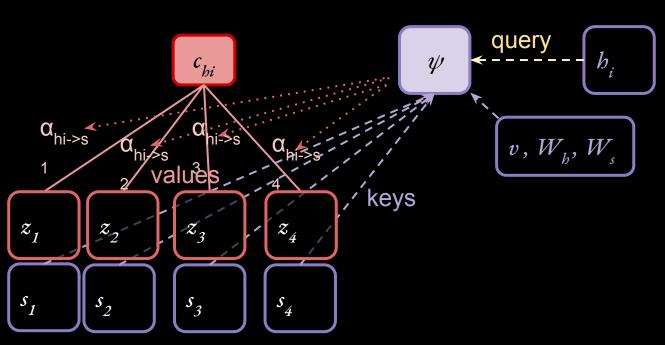
Analogy: random access memory

ightarrow  $h_m^{(s,2)}$   $\longleftrightarrow$   $h_{m+1}^{(s,2)}$ 

 $h_{m-1}^{(s,1)} \longleftrightarrow h_m^{(s,1)} \longleftrightarrow h_{m+1}^{(s,1)}$ 

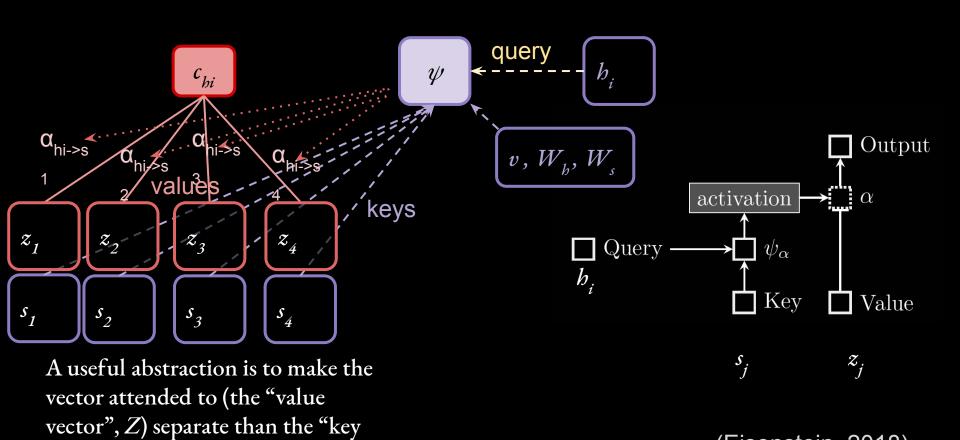






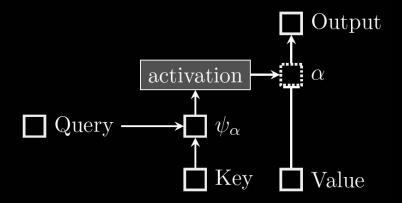
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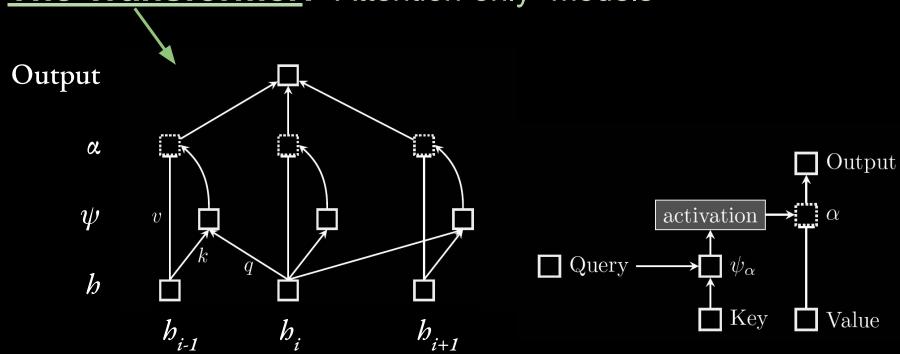


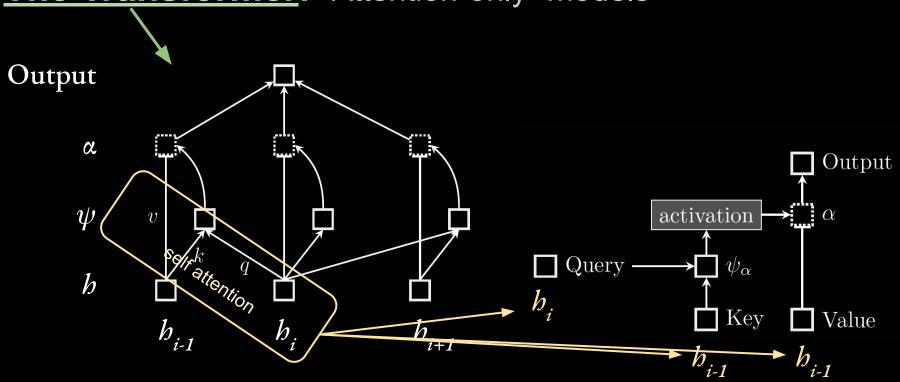
(Eisenstein, 2018)

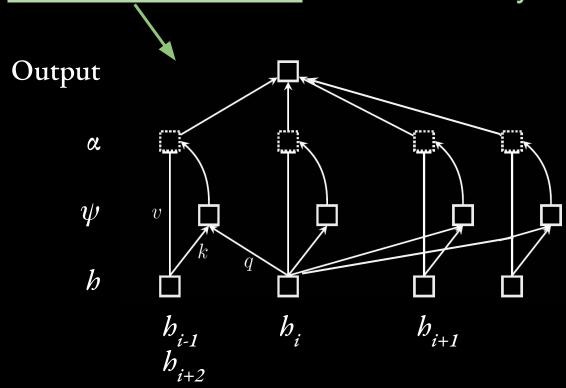
Attention as weighting a value based on a query and key:

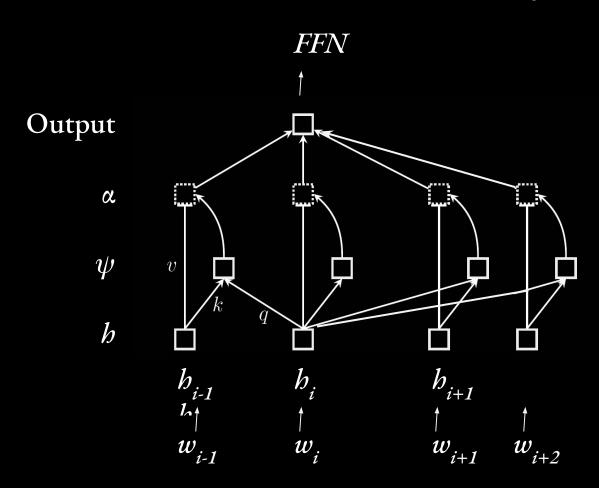


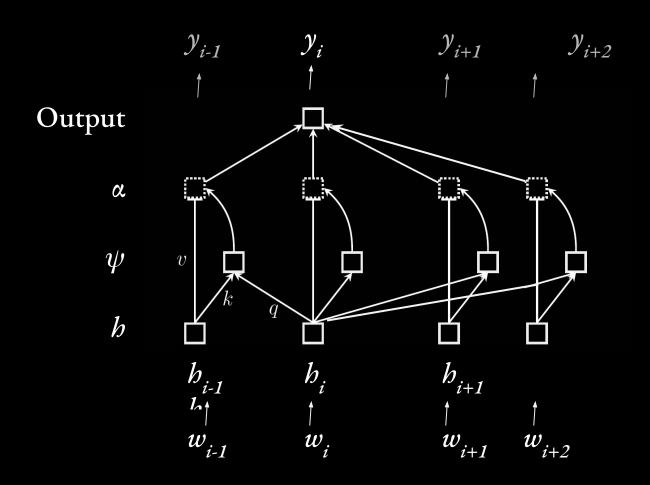
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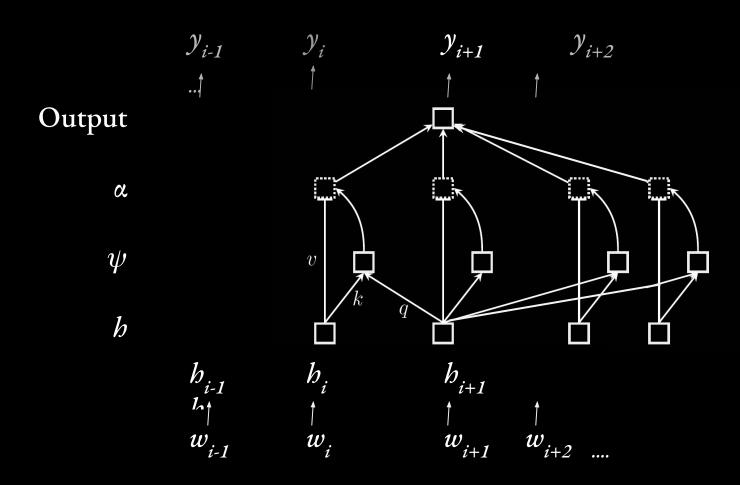


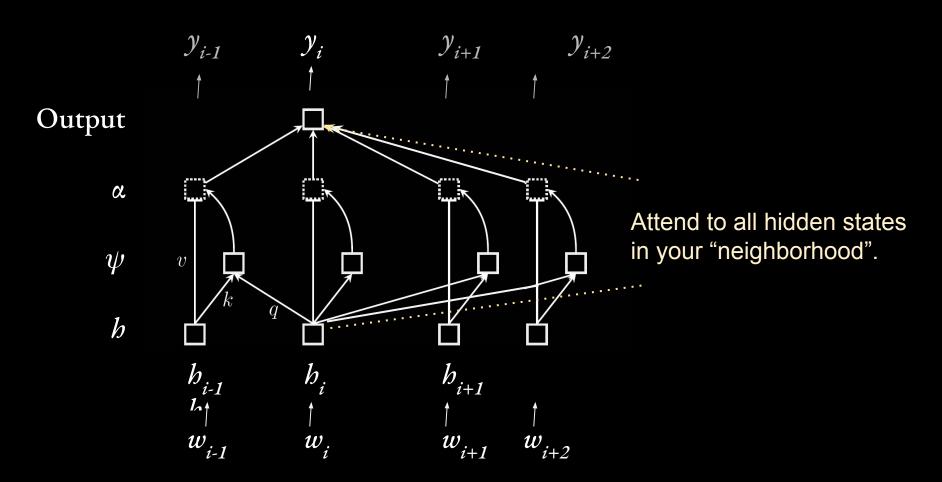


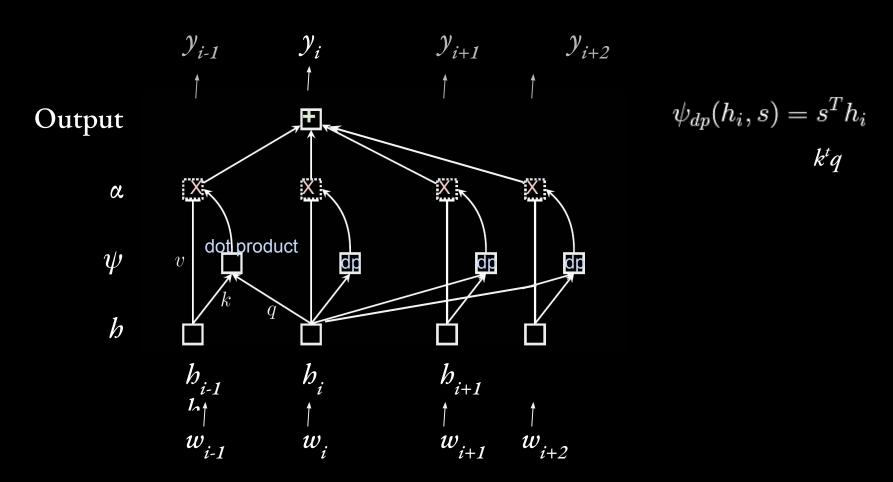


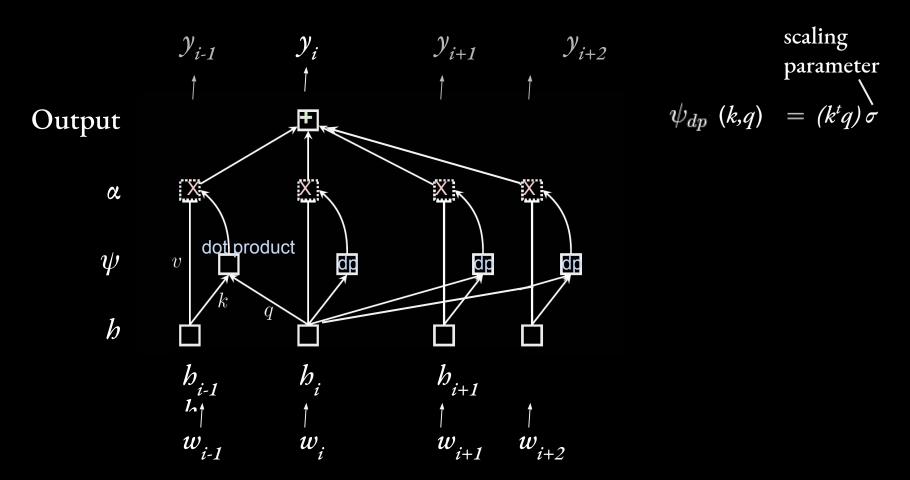


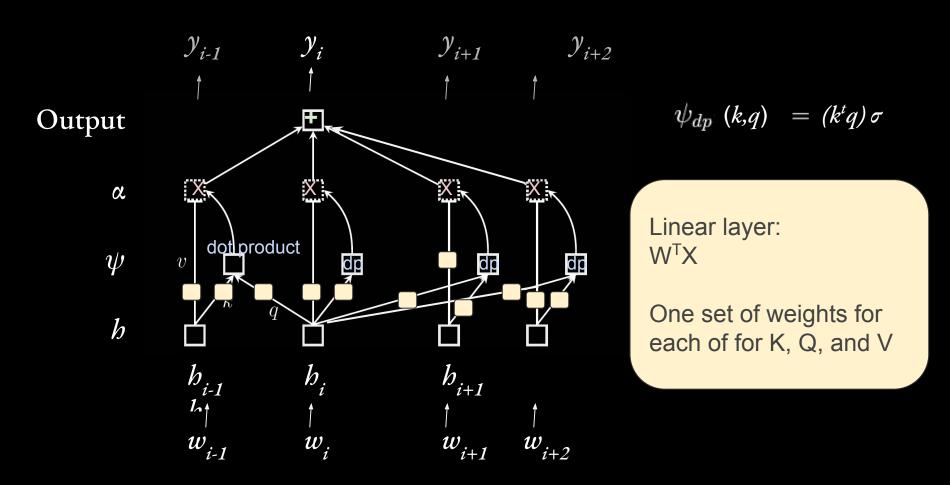










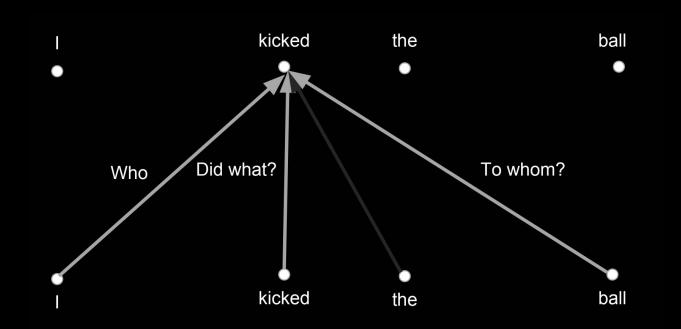


#### Why?

- Don't need complexity of LSTM/GRU cells
- Constant num edges between words (or input steps)
- Enables "interactions" (i.e. adaptations) between words
- Easy to parallelize -- don't need sequential processing.

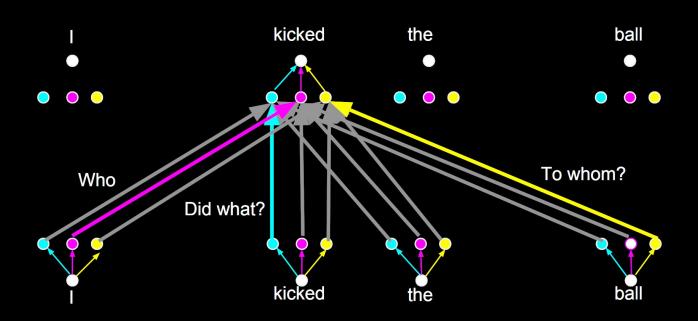
#### **The Transformer**

Limitation (thus far): Can't capture multiple types of dependencies between words.

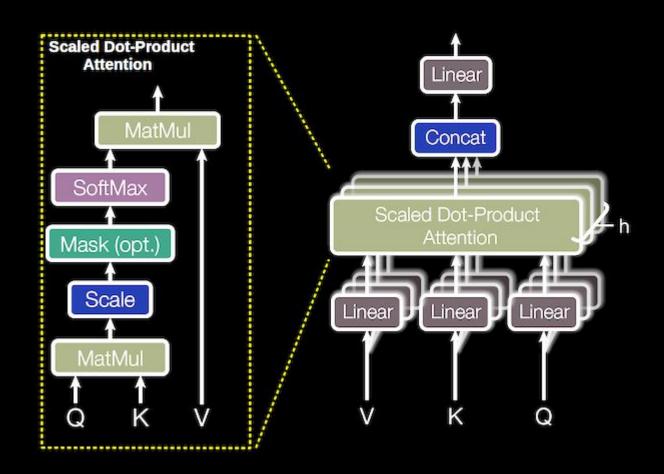


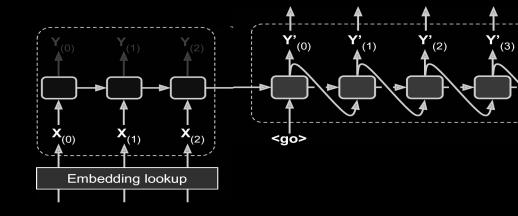
#### **The Transformer**

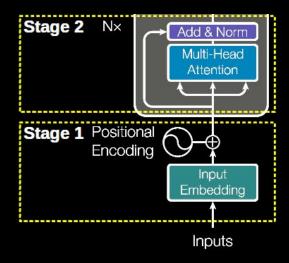
Solution: Multi-head attention

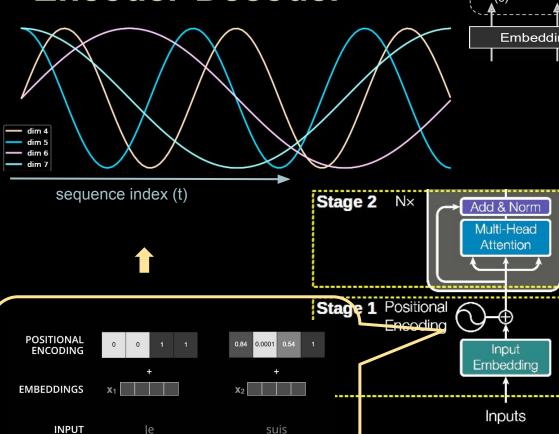


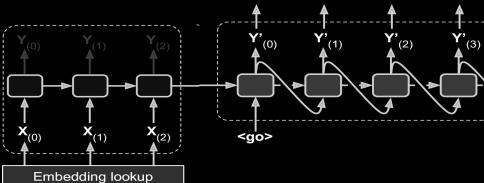
#### **Multi-head Attention**

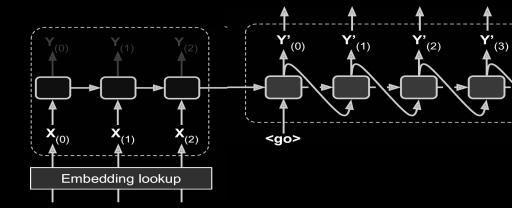


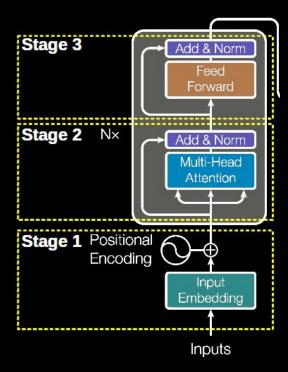


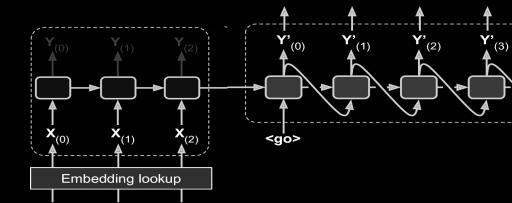


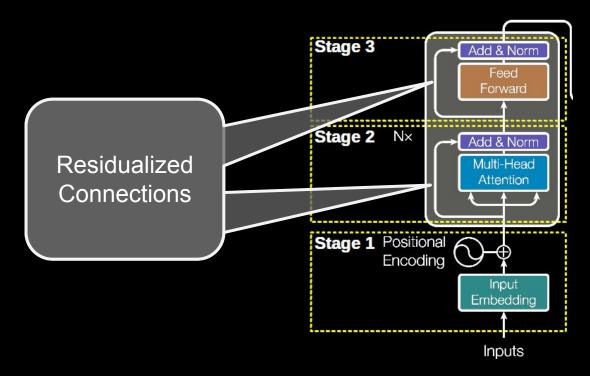


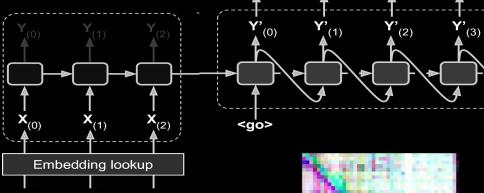


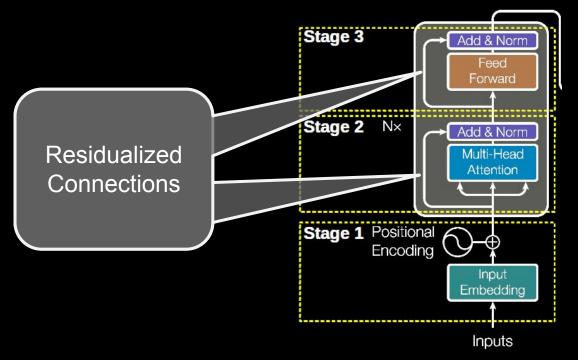








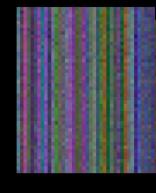




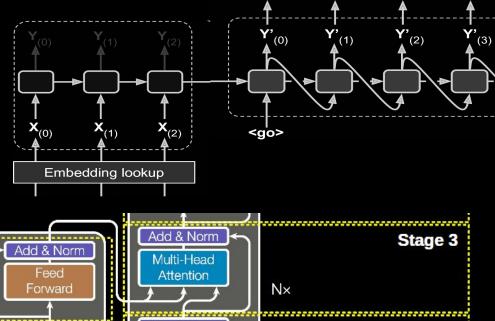
residuals enable positional information to be passed along

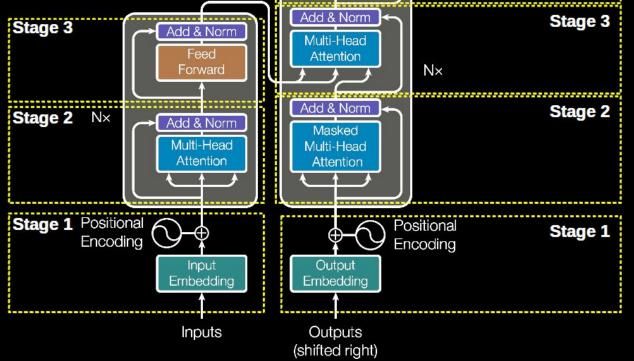


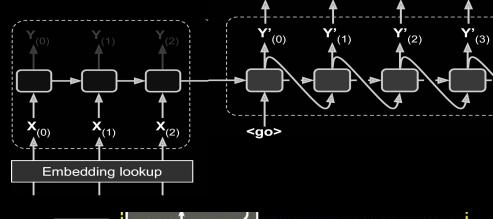
With residuals



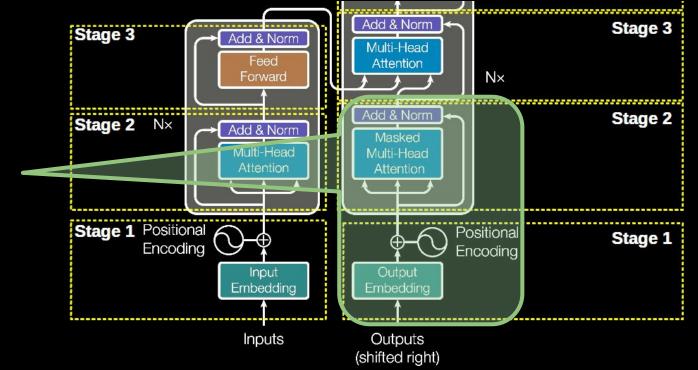
Without residuals





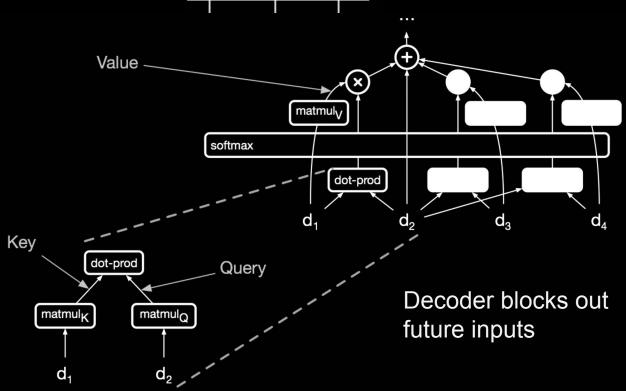


essentially, a language model



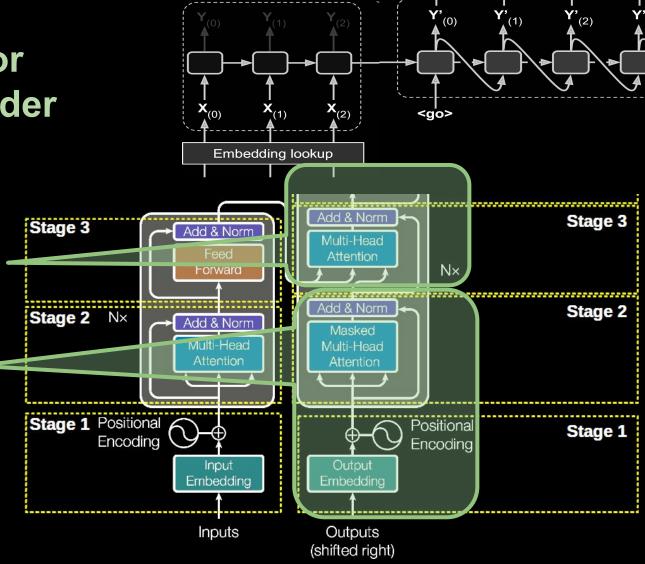
(0) Y (1) Y (2) Y (0) Y (1) Y (2) Y (3) Y (0) X (1) X (2) Y (2) Y (3) Y (1) Y (2) Y (1) Y (2) Y (3) Y (1) Y (2) Y (1) Y (2) Y (3) Y (1) Y (2) Y (1) Y (2) Y (3) Y (1) Y (2) Y (1) Y (2) Y (3) Y (1) Y (2) Y (2) Y (1) Y (2) Y

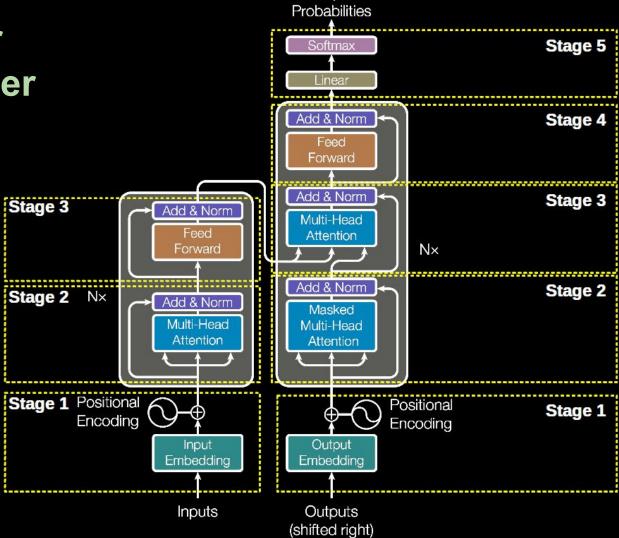
essentially, a language model



Add conditioning of the LM based on the encoder

essentially, a language model





Output

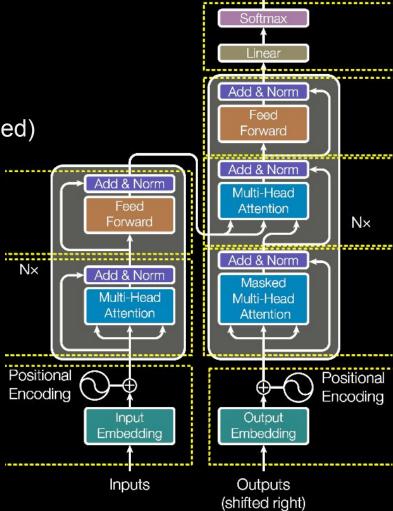
## Transformer (as of 2017)

"WMT-2014" Data Set. BLEU scores:

	EN-DE	EN-FR
GNMT (orig)	24.6	39.9
ConvSeq2Seq	25.2	40.5
Transformer*	28.4	41.8

#### **Transformer**

- Utilize Self-Attention
- Simple att scoring function (dot product, scaled)
- Added linear layers for Q, K, and V
- Multi-head attention
- Added positional encoding
- Added residual connection
- Simulate decoding by masking



Output Probabilities

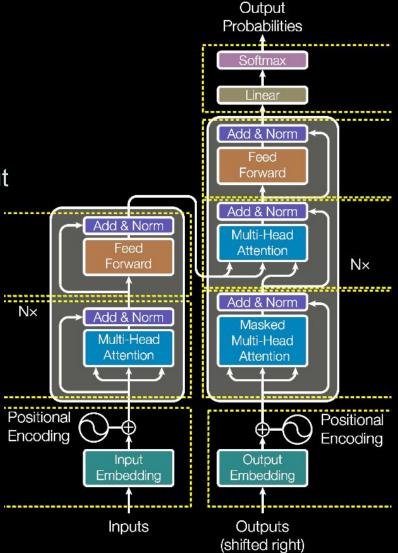
#### **Transformer**

#### Why?

- Don't need complexity of LSTM/GRU cells
- Constant num edges between words (or input steps)
- Enables "interactions" (i.e. adaptations) between words
- Easy to parallelize -- don't need sequential processing.

#### **Drawbacks:**

- Only unidirectional by default
- Only a "single-hop" relationship per layer (multiple layers to capture multiple)



Bidirectional Encoder Representations from Transformers

Produces contextualized embeddings (or pre-trained contextualized encoder)

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Mask 1 in 7 words:

- Too few: expensive, less robust
- Too many: not enough context

Bidirectional Encoder Representations from Transformers

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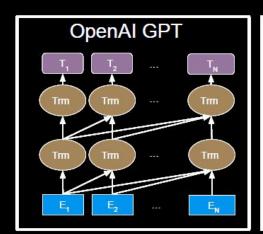
- Bidirectional context by "masking" in the middle
- A lot of layers, hidden states, attention heads.
  - BERT-Base, Cased:

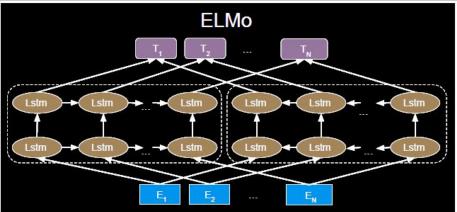
12-layer, 768-hidden, 12-heads, 110M parameters

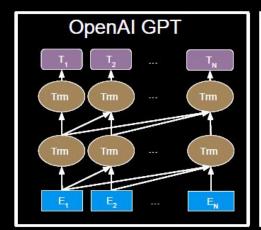
Bidirectional Encoder Representations from Transformers

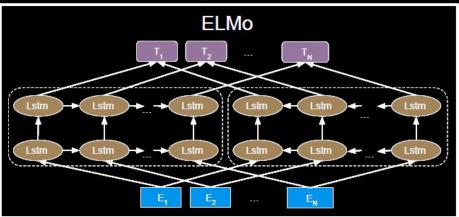
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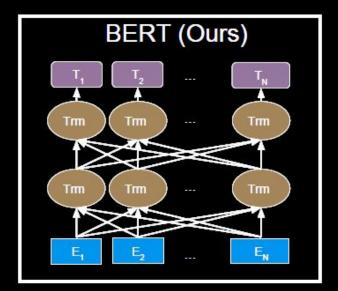
- Bidirectional context by "masking" in the middle
- A lot of layers, hidden states, attention heads.
  - BERT-Base, Cased:
    - 12-layer, 768-hidden, 12-heads, 110M parameters
  - BERT-Large, Cased:
    - 24-layer, 1024-hidden, 16-heads, 340M parameters
  - BERT-Base, Multilingual Cased:
    - 104 languages, 12-layer, 768-hidden, 12-heads, 110M parameters





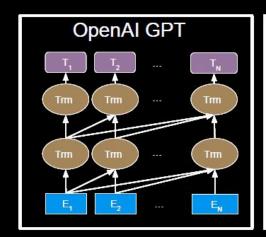


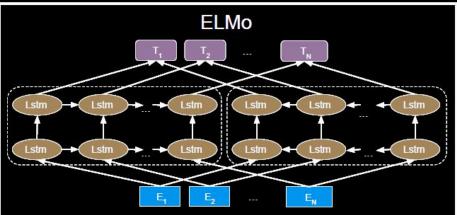


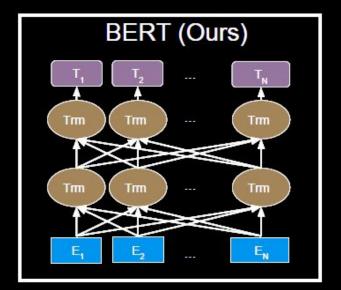


Differences from previous state of the art:

- Bidirectional transformer (through masking)
- Directions jointly trained at once.







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- Bidirectional transformer (through masking)
- Directions jointly trained at once.
- Capture sentence-level relations

Sentence A = The man went to the store.

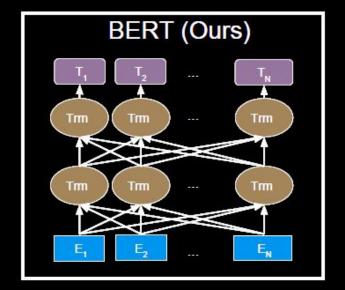
Sentence B = He bought a gallon of milk.

Label = IsNextSentence

Sentence A = The man went to the store.

Sentence B = Penguins are flightless.

Label = NotNextSentence



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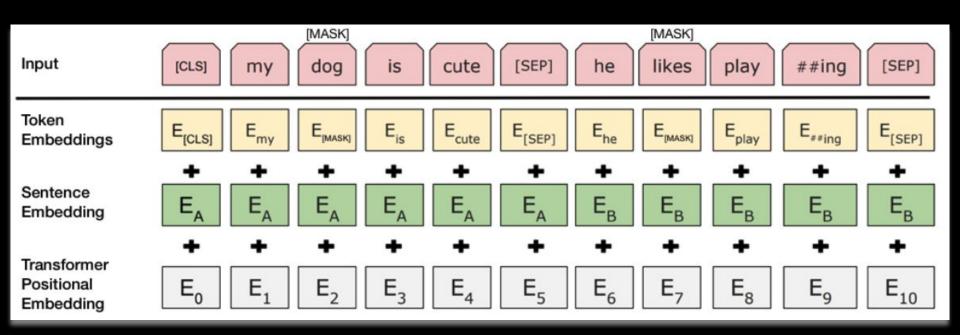
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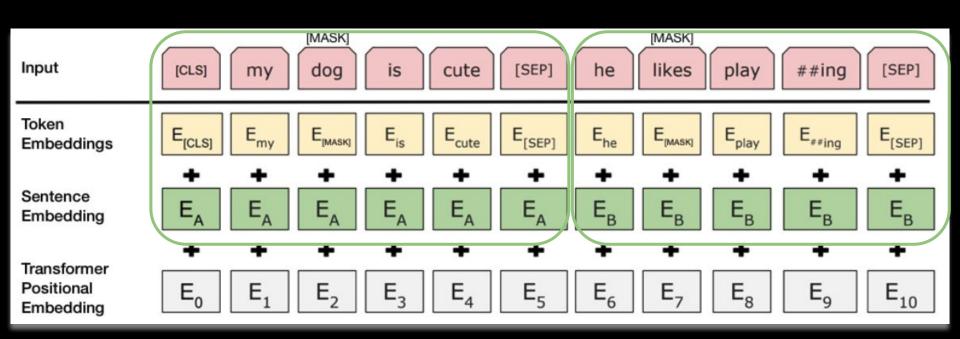
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tokenize into "word pieces"

Sentence A = The man went to the store.

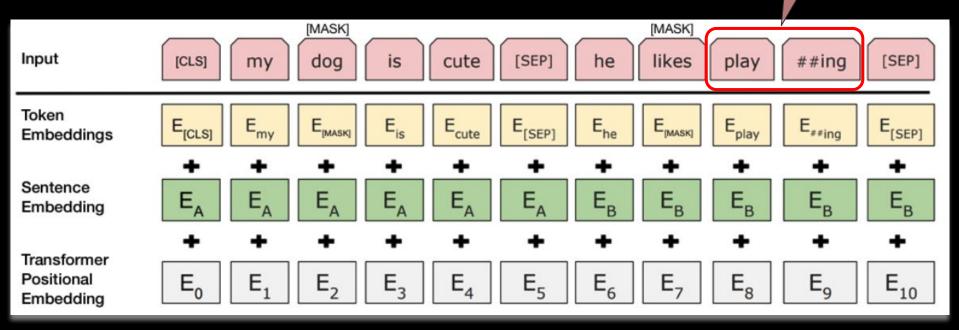
Sentence B = He bought a gallon of milk.

Label = IsNextSentence

Sentence A = The man went to the store.

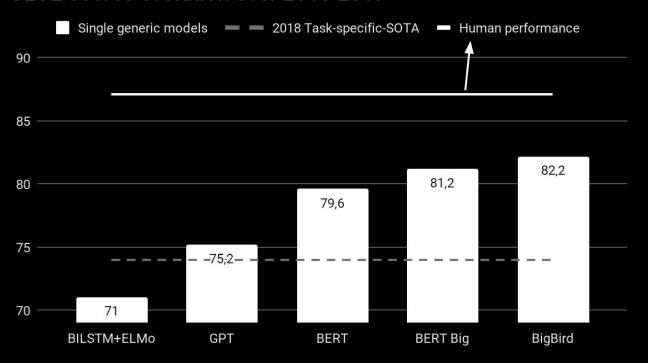
Sentence B = Penguins are flightless.

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## BERT Performance: e.g. Question Answering

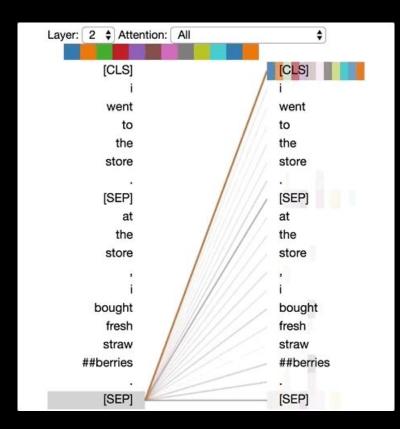
GLUE scores evolution over 2018-2019



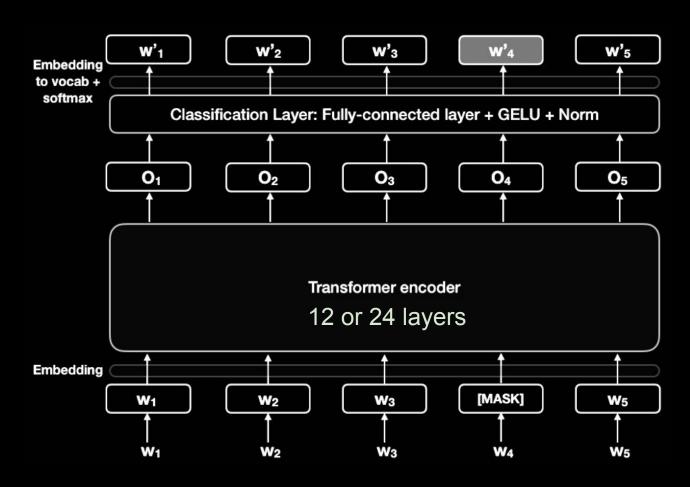
https://rajpurkar.github.io/SQuAD-explorer/

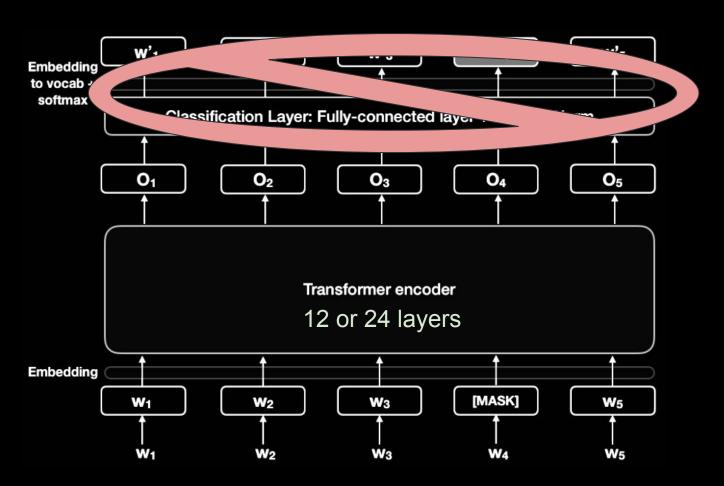
## **Bert: Attention by Layers**

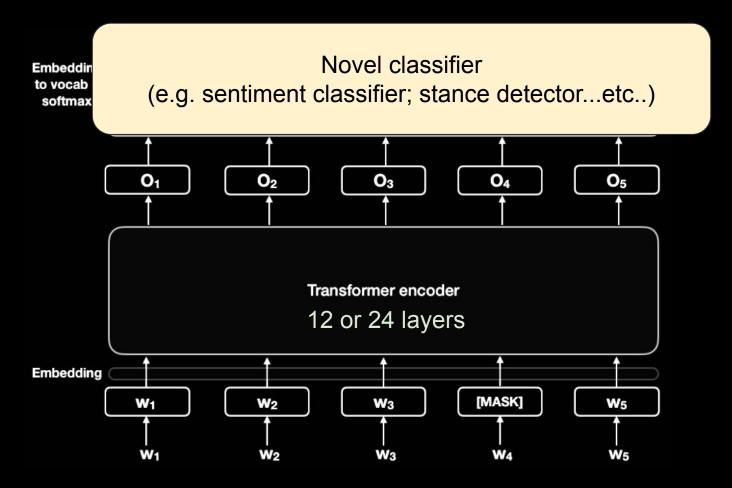
https://colab.research.google.com/drive/1vIOJ1IhdujVjfH857hvYKIdKPTD9Kid8



(Vig, 2019)

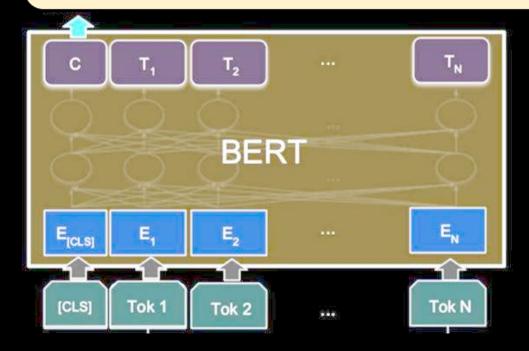






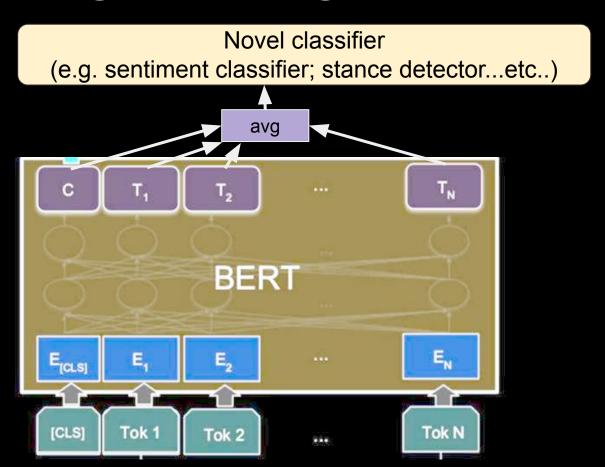
[CLS] vector at start is supposed to capture meaning of whole sequence.

Novel classifier (e.g. sentiment classifier; stance detector...etc..)

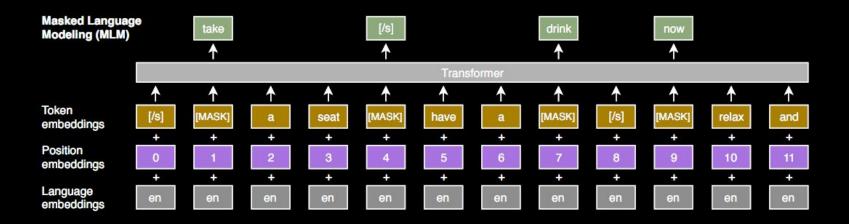


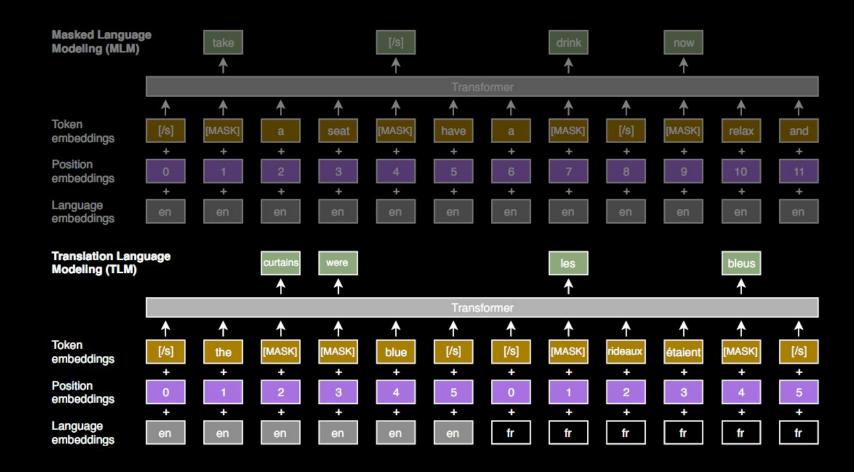
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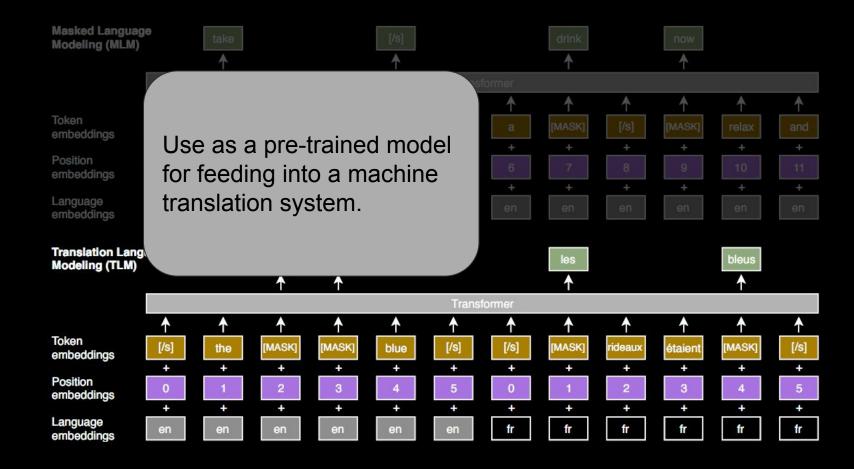
Average of top layer (or second to top) also often used.

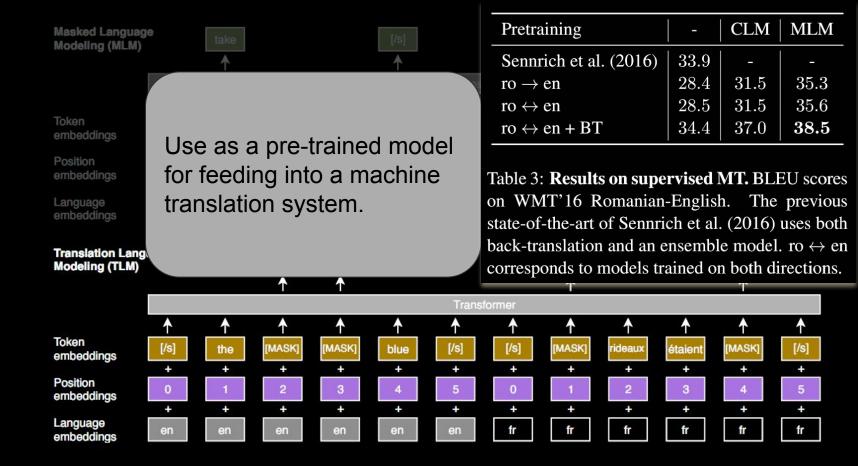


## **Extra Material:**









#### **Neural Machine Translation**

Where does neural approach fall short? (Manning, 2018)

- Translation process is mostly a black box -- can't answer "why" for reordering, word choice decisions
- No direct use of semantic or syntactic structures
- Not modeling discourse structure -- only rough sense of how sentences relate to each other. Doesn't model long distance anaphora.